

09-08-00

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Docket No. UDN0003

First Inventor or Application Identifier: Shankar Iyer, et al.

Title: METHOD AND APPARATUS FOR DETERMINING LATENCY  
BETWEEN MULTIPLE SERVERS AND A CLIENT

Express Mail Label No. EL696097234US

## CERTIFICATE OF EXPRESS MAILING

"EXPRESS MAIL" Mailing Label No. EL696097234US Date of Deposit: September 7, 2000

I hereby certify that this trademark application and the papers listed thereon are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR. 1.10 on the date indicated above, and is addressed to:  
Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231

JC930 U.S. PTO

Name of person mailing: Amy Alba  
Signature of person mailing: 

09/07/00



## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231Invention Title: METHOD AND APPARATUS FOR DETERMINING LATENCY BETWEEN  
MULTIPLE SERVERS AND A CLIENT

Inventors: SHANKAR IYER and SRIDHARA LANKA

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information

 Continuation  Divisional  Continuation-in-part (CIP) of prior application no.: \_\_\_\_\_

Enclosed are:

## APPLICATION ELEMENTS

1.  Filing Fee as calculated and transmitted as described below
2.  Specification having 18 pages and including the following:
  - Descriptive title of the Invention
  - Cross References to Related Applications (if applicable)
  - Statement Regarding Federally-sponsored Research/Development (if applicable)
  - Reference to Microfiche Appendix (if applicable)
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of Drawings (if drawings filed)
  - Detailed Description
  - Claims as Classified Below
  - Abstract of the Disclosure
3.  Drawings (when necessary as prescribed by 35 USC 113) Number or Sheets: 6 (Figs 1-6)
4. Oath or Declaration  
 Newly executed (original)
5.  Microfiche Computer Program
6.  Nucleotide and/or Amino Acid Sequence Submission

## ACCOMPANYING PARTS

7.  Assignment Papers (coversheet and document(s))
8.  37 C.F.R. §(b) Statement (when there is an assignee)  Power of Attorney
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard
13.  Small Entity Statement(s)
14.  Certified Copy of Priority Document(s) (if foreign priority claimed)
15.  Other: \_\_\_\_\_

 1C564 U.S. PRO  
 09/07/00  


**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Docket No. **UDN0003**First Inventor or Application Identifier: **Shankar Iyer, et al.**Title: **METHOD AND APPARATUS FOR DETERMINING LATENCY  
BETWEEN MULTIPLE SERVERS AND A CLIENT**Express Mail Label No. **EL696097234US****FEE CALCULATION AND TRANSMITTAL**

| <b>BASIC FILING FEE</b><br><i>(Small Entity)</i> |              |                    |                          | <b>Fee Paid</b> |
|--|--------------|--------------------|--------------------------|-----------------|
| Utility Filing Fee                               |              |                    |                          | \$345           |
| <b>EXTRA CLAIM FEES</b>                          |              |                    |                          |                 |
| Total Claims [ 33 ]                              | - 20 ** = 15 | Extra Claims [ 0 ] | X 9                      | \$              |
| Independent Claims [ 3 ]                         | - 3** = 3    | Extra Claims [ 0 ] | 0                        |                 |
| Other Fee:                                       | Recordation  |                    |                          | \$40            |
|  |              |                    | <b>Total Filing Fee:</b> | <b>\$385</b>    |

The Commissioner is hereby authorized to charge and credit Deposit Account No. 501406. A duplicate copy of this sheet is enclosed.

[X] Please credit any overpayment to this account.

[X] Charge any additional filing fees required.

**24634**

PATENT TRADEMARK OFFICE

**CORRESPONDENCE ADDRESS****Customer Number: 24634**

Attach Bar Code Label here

Name: **SPEEDERA NETWORKS, INC., ATTENTION: IP-LEGAL DEPT.**Address: **4800 Great America Parkway, Suite 220**City: **Santa Clara** State: **CA** ZIP CODE: **95054**Country: **United States of America** Telephone No. **(408) 970-1500** Fax: **(408) 988-7765**Name: **Kirk D. Wong, Registration No. 43,284**Signature: Date: 9/7/00

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(f))—INDEPENDENT INVENTOR**

DOCKET NO.  
UDN0003

Applicant(s): **SHANKAR IYER and SRIDHARA LANKA**

Application No.: **To be Assigned**

Filed: **Herewith**

Title: **METHOD AND APPARATUS FOR DETERMINING LATENCY BETWEEN MULTIPLE SERVERS  
AND A CLIENT**

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described herein:

the specification filed herewith with the title as listed above.

the application identified above.

the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

No such person, concern, or organization exists.

Each such person, concern, or organization is listed below.

**SPEEDERA NETWORKS, INC.**

Address: 4800 Great America Parkway, Suite 220, Santa Clara, California 95054

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Shankar Iyer  
Shankar Iyer

Date: 8/25/2000

Sridhara Lanka  
Sridhara Lanka

Date: 8/25/2000

STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN

DOCKET NO.  
UDN0003

Applicant(s): Shankar Iyer and Sridhara Lanka

Application No.: To Be Assigned

Filed: Herewith

Title: METHOD AND APPARATUS FOR DETERMINING LATENCY BETWEEN MULTIPLE SERVERS AND A CLIENT

I hereby state that I am

the owner of the small business concern identified below:

an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: **SPEEDERA NETWORKS, INC.**

ADDRESS OF SMALL BUSINESS CONCERN: 4800 Great America Parkway, Suite 220, Santa Clara, CA 95054

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR Part 121 for purposes of paying reduced fees to the Patent and Trademark Office.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

the specification filed herewith with the title as listed above.

the application identified above.

the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent invention under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having rights in the invention is listed below:

No such person, concern, or organization exists.

Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING: Ajit Gupta

TITLE: Chief Executive Officer and President

ADDRESS OF PERSON SIGNING: 4800 Great America Parkway, Suite 220, Santa Clara, CA 95054

Signature



Date Aug 28, 2000